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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
10/631,874	07/31/2003	Indranil Nandi	G-33302P1	1795				
1095 NOVARTIS CORPORATE INTELLECTUAL PROPERTY ONE HEALTH PLAZA 104/3 EAST HANOVER, NJ 07936-1080	7590 07/02/2007		<table border="1"><tr><td colspan="2">EXAMINER</td></tr><tr><td colspan="2">HENRY, MICHAEL C</td></tr></table>		EXAMINER		HENRY, MICHAEL C	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/631,874	Applicant(s) NANDI ET AL.	
	Examiner Michael C. Henry	Art Unit 1623	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 02 March 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

The following office action is a responsive to the Amendment filed, 03/02/07.

The amendment filed 03/02/07 affects the application, 10/631,874 as follows:

Claims 18 and 19 have been amended.

1. The responsive to applicants' amendments is contained herein below.

Claims 1-20 are pending in the application

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 20 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 20 recites the limitation "claim 19 wherein the low shear mill is a conical screen." However, there is insufficient antecedent basis for this limitation in the claim. More specifically, there is no previous reference in the claim nor in claim 19 (on which claim 20 depends), to the term "low shear mill".

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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Claims 1-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Domet et al. (US 4,929,605) in combination with Maekawa et al. (US 4,176,175).

In claim 1, applicant claims "A pharmaceutical composition consisting essentially of fexofenadine or a pharmaceutical acceptable acid addition salt thereof, about 10 wt. % to about 70 wt. % of lactose, and about 1 wt. % to about 40 wt. % of a low-substituted hydroxypropyl cellulose, wherein the weight percents are based on the total weight of the pharmaceutical composition." Dependent claims 2,6-9, 12, 13 are drawn to specific wt. % and mg of the components of said composition. Claims 14-17 are drawn to low-substituted hydroxypropyl cellulose of specific average particle sizes and wt. %.

Domet et al. disclose a pharmaceutical composition in solid unit dosage form containing a therapeutically effective amount of a piperidinoalkanol compound, such as fexofenadine and terfenadine, or a pharmaceutically acceptable salt thereof, a pharmaceutically acceptable nonionic or cationic surfactant, and a pharmaceutically acceptable carbonate salt. Furthermore, Domet et al. disclose that said piperidinoalkanol derivatives (compounds) which are antihistamines, antiallergic agents and bronchodilators, are in general, only minimally soluble in water and therefore the therapeutically inactive ingredients in a pharmaceutical composition containing one or more of these compounds are very important in providing for their efficient and immediate absorption and bioavailability after oral administration (see col. 1, lines 11-33). It should be noted that piperidinoalkanol compounds fexofenadine and terfenadine, which are useful as antihistamines, antiallergic agents and bronchodilators are quite similar in structure, differing only by a substituent (i.e. methyl group as opposed to a carboxyl group).

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The difference between applicant's claimed composition and the composition disclosed by Domet et al. is that applicant's composition contains lactose and low-substituted hydroxypropyl cellulose.

Maekawa et al. disclose that solid drugs preparation (dosage form) such as tablets, granules and pill that are coated with sugars containing low-substituted hydroxypropyl cellulose improves the disintegration time (see abstract). Furthermore, Maekawa et al. disclose that sugars in general such as sucrose (which like lactose is a disaccharide) can be used (see col. 2, lines 23-37).

It would have been obvious to one having ordinary skill in the art, at the time the claimed invention was made, in view of Domet et al. and Maekawa et al., to have prepared a pharmaceutical composition comprising fexofenadine, low-substituted hydroxypropyl cellulose and lactose and to be used as an antihistamine composition, since Domet et al. disclose that there is a need for the immediate absorption and bioavailability of piperidinoalkanol compounds (derivatives) including fexofenadine and terfenadine (after oral administration) and Maekawa et al. disclose that specific components such low-substituted hydroxypropyl cellulose and sugars such as lactose and improves the rapid disintegration and favorable release (i.e., bioavailability) of drugs.

One having ordinary skill in the art would have been motivated in view of Domet et al. and Maekawa et al., to have prepared a pharmaceutical composition comprising fexofenadine, lactose and low-substituted hydroxypropyl cellulose to be used as an antihistamine composition, since Domet et al. disclose that there is a need for the immediate absorption and bioavailability of piperidinoalkanol compounds (derivatives) including fexofenadine and terfenadine (after oral

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administration) and Maekawa et al. disclose that specific components such low-substituted hydroxypropyl cellulose and sugars such as lactose and improves the rapid disintegration and favorable release (i.e., bioavailability) of drugs. It should be noted that the use of specific quantities (e.g., mg), wt. % and type of low-substituted hydroxypropyl cellulose of said composition depends on the need, such as the individual to which this composition is administered.

Claims 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Domet et al. (US 4,929,605) in combination with Obara et al. (US 6,380,381 B1).

In claim 18, applicant claims "A method of preparing a pharmaceutical composition consisting essentially of fexofenadine or a pharmaceutical acceptable acid addition salt thereof, about 10 wt. % to about 70 wt. % of lactose, and about 1 wt. % to about 40 wt. % of a low-substituted hydroxypropyl cellulose, wherein the weight percents are based on the total weight of the pharmaceutical composition, said method comprising:

- (a) mixing fexofenadine, lactose, and low-substituted hydroxypropyl cellulose to form a premix;
- (b) adding a solvent to the premix formed in Step (a) to form a wet granulation; and
- (c) drying the wet granulation to form dried granules;
- (d) mixing at least one excipient with the dried granules to form a pharmaceutical

composition." Claim 19 is drawn to a method of preparing a pharmaceutical composition consisting essentially of fexofenadine or a pharmaceutical acceptable acid addition salt thereof, about 10 wt. % to about 70 wt. % of lactose, and about 1 wt. % to about 40 wt. % of a low-substituted hydroxypropyl cellulose, wherein the weight percents are based on the total weight of

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the pharmaceutical composition, said method comprising: (a) mixing fexofenadine, lactose, and low-substituted hydroxypropyl cellulose to form a premix;..... Claim 20 is drawn to the method according to claim 19 wherein the low shear mill is a conical screen.

Domet et al. disclose a method of preparing a pharmaceutical composition in solid unit dosage form containing a therapeutically effective amount of a piperidinoalkanol compound, such as fexofenadine and terfenadine comprising mixing said piperidinoalkanol compound with a pharmaceutically acceptable nonionic or cationic surfactant and a pharmaceutically acceptable carbonate salt and forming granules which are dried and milled to uniform size (see col. 4, lines 50-64). Furthermore, Domet et al. disclose that said piperidinoalkanol derivatives (compounds) which are antihistamines, antiallergic agents and bronchodilators, are in general, only minimally soluble in water and therefore the therapeutically inactive ingredients in a pharmaceutical composition containing one or more of these compounds are very important in providing for their efficient and immediate absorption and bioavailability after oral administration (see col. 1, lines 11-33). It should be noted that the piperidinoalkanol compounds fexofenadine and terfenadine, are useful as antihistamines, antiallergic agents and bronchodilators.

The difference between applicant's method and the method disclosed by Domet et al. is that applicant's uses low-substituted hydroxypropyl cellulose in their composition.

Obara et al. disclose that low-substituted hydroxypropyl cellulose exhibits good granulation characteristics and tablet properties (i.e. improving bioavailability) (see abstract). Furthermore, Obara et al. exemplify the preparation of a good granulation composition comprising the low-substituted hydroxypropyl cellulose and lactose (see col. 4, line 45-56). Also, Obara et al. disclose that for the low-substituted hydroxypropyl cellulose of the present

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invention, that tablet may be prepared that contain, for example, active ingredients, lubricants (e.g., magnesium stearate), excipients (e.g., corn starch and lactose), and other disintegrators and binders (see col. 3, line 64 to col. 4, line 4). Obara et al disclose a low-substituted hydroxypropyl cellulose having a hydroxypropoxyl content in the range of 5.0 to 16.0% by weight and an apparent average degree of polymerization in the range of 350 to 700 (see abstract). In addition, Obara et al. disclose that low-substituted hydroxypropyl cellulose, its degree of substitution provides good granulation such that it improves the disintegration properties of tablets (i.e. improving bioavailability) (see col. 1, lines 21-59).

It would have been obvious to one having ordinary skill in the art, at the time the claimed invention was made, in view of Domet et al. and Obara et al., to have used the method of Domet et al. to prepare a pharmaceutical composition comprising fexofenadine, low-substituted hydroxypropyl cellulose and lactose to be used as an antihistamine composition, since Domet et al. disclose that there is a need for the immediate absorption and bioavailability of piperidinoalkanol compounds (derivatives) including fexofenadine (after oral administration) and Obara et al. disclose that a good granulation such as low-substituted hydroxypropyl cellulose and lactose improves the bioavailability (i.e. rapid disintegration and favorable release) of drugs.

One having ordinary skill in the art would have been motivated in view of Domet et al. and Obara et al., to have used the method of Domet et al. to prepare a pharmaceutical composition comprising fexofenadine, low-substituted hydroxypropyl cellulose and lactose to be used as an antihistamine composition, since Domet et al. disclose that there is a need for the immediate absorption and bioavailability of piperidinoalkanol compounds (derivatives) including fexofenadine (after oral administration) and Obara et al. disclose that a good granulation such as

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low-substituted hydroxypropyl cellulose and lactose improves the bioavailability (i.e. rapid disintegration and favorable release) of drugs. It should be noted that the use of specific quantities (e.g., mg), wt. % and type of low-substituted hydroxypropyl cellulose of said composition depends on the need, such as the individual to which this composition is administered. In addition, the use of specific mills such as a low shear mill is commonly used in the art in the preparation of such oral tablet formulations, and is well within the purview of a skill artisan does not appear to alter the said composition formed.

Response to Amendment

Applicant's arguments with respect to claim 1-20 have been considered but are not found convincing.

The applicant argues that fexofenadine is not equivalent to terfenadine, either structurally or functionally. However, fexofenadine is functionally equivalent to terfenadine in terms of both being antihistamines or anti-allergy agents (see also Domet et al., col. 42-44).

The applicant argues that Domet does not provide any examples that teach the use of fexofenadine. However, Domet et al. disclose a piperidinoalkanol compound (e.g. compounds of the formula (3) (see col. 2, lines 36-63), which includes fexofenadine and terfenadine, are antihistamines, antiallergic agents and bronchodilators (i.e., they are functionally equivalent) (see also Domet et al., col. 42-44). Thus, it is obvious to one of ordinary skill in the art, based on Domet et al.'s teaching, to substitute the functionally equivalent fexofenadine for terfenadine.

The applicant argues that Domet discloses that calcium carbonate is an essential component of the composition. However, applicant's composition does not appear to exclude calcium carbonate. That is, although applicant's claim 1 recites the phrase or limitation

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“consisting essentially of” does not necessarily excludes the use of calcium carbonate. From MPEP 2111.03 [R-3]: The transitional phrase “consisting essentially of” limits the scope of a claim to the specified materials or steps “and those that do not materially affect the basic and novel characteristic(s)” of the claimed invention. In re Herz, 537 F.2d 549, 551-52, 190 USPQ 461, 463 (CCPA 1976) (emphasis in original) (Prior art hydraulic fluid required a dispersant which appellants argued was excluded from claims limited to a functional fluid “consisting essentially of” certain components. In finding the claims did not exclude the prior art dispersant, the court noted that appellants’ specification indicated the claimed composition can contain any well-known additive such as a dispersant, and there was no evidence that the presence of a dispersant would materially affect the basic and novel characteristic of the claimed invention. The prior art composition had the same basic and novel characteristic (increased oxidation resistance) as well as additional enhanced detergent and dispersant characteristics.). “A consisting essentially of” claim occupies a middle ground between closed claims that are written in a consisting of” format and fully open claims that are drafted in a comprising” format.” PPG Industries v. Guardian Industries, 156 F.3d 1351, 1354, 48 USPQ2d 1351, 1353-54 (Fed. Cir. 1998). See also Atlas Powder v. E.I. duPont de Nemours & Co., 750 F.2d 1569, 224 USPQ 409 (Fed. Cir. 1984); In re Janakirama-Rao, 317 F.2d 951, 137 USPQ 893 (CCPA 1963); Water Technologies Corp. vs. Calco, Ltd., 850 F.2d 660, 7 USPQ2d 1097 (Fed. Cir. 1988). For the purposes of searching for and applying prior art under 35 U.S.C. 102 and 103, absent a clear indication in the specification or claims of what the basic and novel characteristics actually are, “consisting essentially of” will be construed as equivalent to “comprising.” See, e.g., PPG, 156 F.3d at 1355, 48 USPQ2d at 1355 (“PPG could have defined the scope of the phrase consisting

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essentially of” for purposes of its patent by making clear in its specification what it regarded as constituting a material change in the basic and novel characteristics of the invention.”). See also *AK Steel Corp. v. Sollac*, 344 F.3d 1234, 1240-41, 68 USPQ2d 1280, 1283-84 (Fed. Cir. 2003). Furthermore, applicant has not demonstrated how the inclusion of calcium carbonate changes the “basic and novel characteristic(s)” of the claimed invention. In addition, it should be noted that applicant’s embodiments includes magnesium stearate (see examples 1-2, pages 7-8 of applicant’s specification) and thus the phrase “consisting essentially of” can include calcium carbonate. The applicant argues that there is no motivation to combine references. However, the motivation to combination is clearly set forth in the above rejections (see rejection above).

The applicant argues that Domet fails to disclose Applicants' claimed method which excludes calcium carbonate which is essential to Domet's composition. Through the use of “consisting essentially of” language in Claims 18 and 19, Applicants have excluded the use of such ingredients that would materially affect the basic and novel characteristics Applicants' invention as claimed. However, see the aforementioned argument set forth above and MPEP 2111.03 [R-3] which pertains to “consisting essentially of” language.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

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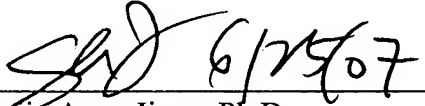
CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael C. Henry whose telephone number is 571-272-0652. The examiner can normally be reached on 8.30am-5pm; Mon-Fri. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shaojia A. Jiang can be reached on 571-272-0627. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Michael C. Henry


Shaojia Anna Jiang, Ph.D.
Supervisory Patent Examiner
Art Unit 1623

June 25, 2007.